

NOTIFICATION OF FEDERAL GRANT SUBAWARD PI-1138 (New 06-15)
 General Subaward Information (2 CFR §200.331(a))

SUBAWARD INFORMATION			
DPI Grant Name ⁽¹⁾ 2015-2016 21st Century Community Learning Center (CLC) Grant - Year 3			
Subrecipient Information			
Agency Name ⁽²⁾ Waukesha Parks, Recreation and Forestry Department		Agency Code ⁽³⁾ 011147	
DUNS Name ⁽⁴⁾ City of Waukesha		DUNS No. ⁽⁵⁾ 073850166	
Amount of Federal Funds Obligated By This Action ⁽⁶⁾ \$100,000	Total Amount of Federal Funds Obligated ⁽⁷⁾ \$100,000	Total Approved Cost Sharing or Matching ⁽⁸⁾	
DPI Contact Information			
Contact Name First & Last ⁽⁹⁾ Gary Sumnicht		Email Address ⁽¹⁰⁾ gary.sumnicht@dpi.wi.gov	Phone Area Code/No. ⁽¹¹⁾ 608-267-5078
Team ⁽¹²⁾ Student Services/Prevention and Wellness - Division for Learning Support		DPI Grant Number ⁽¹³⁾ A367-0000-011147	
DPI Source Code ⁽¹⁴⁾ 730	DPI Project Code ⁽¹⁵⁾ 367	Research & Development Grant ⁽¹⁶⁾ <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes	
Subaward Date Mo./Day/Yr. ⁽¹⁷⁾ 8/17/2015	Subaward Period of Performance Start Date Mo./Day/Yr. ⁽¹⁸⁾ End Date Mo./Day/Yr. ⁽¹⁹⁾ 7/1/2015 6/30/2016	Indirect Rate Type ⁽²⁰⁾ <input checked="" type="checkbox"/> Restricted <input type="checkbox"/> Unrestricted	Subrecipient's Indirect Rate ⁽²¹⁾ 0%
APPROVAL SIGNATURES			
Senior Grant Management Accountant, School Management Services Team ➤			Date Signed Mo./Day/Yr.
Division Administrator ➤ <i>Andlyn D. Taylor</i>			Date Signed Mo./Day/Yr. 08/17/2015
State Superintendent ➤ <i>Tony Evers</i>			Date Signed Mo./Day/Yr. 08/17/2015

	ADDITIONAL SUBAWARD INFORMATION	
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Other information pertaining to the subrecipient. ⁽²²⁾

Sites funded by this grant year: Hawthorne Elementary

	FEDERAL AWARD INFORMATION	
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Federal Award Name ⁽²³⁾ After School Learning Center Formula Award	Name of Federal Agency ⁽²⁴⁾ US Department of Education	
Federal Award Identification Number (FAIN) ⁽²⁵⁾ S287C150050	Federal Award Date ⁽²⁶⁾ 07/01/2015	
Current Total Federal Award Amount ⁽²⁷⁾ \$16,137201.00	Expected Total Federal Award Amount ⁽²⁸⁾ \$16,137201.00	
CFDA Number ⁽²⁹⁾ 84.287C	CFDA Name ⁽³⁰⁾ After School Learning Centers	CFDA Amount Made Available ⁽³¹⁾ \$16,137201.00

Federal Award Project Description ⁽³²⁾

84.287C

After School Learning Center Formula Award

FEDERAL GRANT REQUIREMENTS (§200.331(a)(2))**All Federal Grant Programs**

Allowable Costs: Costs incurred will be allowable under the principles established in the Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards; Final Rule [2 CFR Subpart E—Cost Principles]

Applicable Statutes and Regulations: The grantee shall comply with all applicable statutory and regulatory requirements. These requirements include, but are not limited to, applicable provisions of -

Title VI of the Civil Rights Act of 1964 [45 U.S.C. 2000d through 2000d-4]
Title IX of the Education Amendments of 1972 [20 U.S.C. 1681-1683]
Section 504 of the Rehabilitation Act of 1973 [29 U.S.C. 794]
The Age Discrimination Act [42 U.S.C. 6101 et seq.]

Budget Modifications: The grantee will obtain an approved budget amendment when it is anticipated that claimed expenditures will vary significantly from the amount in the current approved budget. A significant variance is an increase of 10 percent (summary of all line items) of the current total approved budget. [2 CFR § 200.308(e)] This applies to all grants unless there are more restrictive or specific requirements of the grant award which may be the case with discretionary grants.

Confidentiality: The grantee shall comply with provisions regarding confidentiality of student information. [Wisconsin Statute §118.125, Pupil records]

Contracts and Procurement: The grantee will use its own procurement procedures that reflect applicable state and local laws and regulations, provided the procurements conform to applicable federal law and the standards in 2 CFR §§200.318-200.326 Procurement Standards.

Debarred and Suspended Parties: A contract (see 2 CFR §180.220) must not be made to parties listed on the government wide Excluded Parties List System in the System for Award Management (SAM), in accordance with the OMB guidelines at 2 CFR 180 that implement Executive Orders 12549 (3 CFR Part 1986 Comp., p. 189) and 12689 (3 CFR Part 1989 Comp., p. 235), "Debarment and Suspension." The Excluded Parties List System in SAM contains the names of parties debarred, suspended, or otherwise excluded by agencies, as well as parties declared ineligible under statutory or regulatory authority other than Executive Order 12549.

Lobbying: By accepting this award, the grantee assures it will comply with the requirements of 31 U.S.C. 1352, as well as the common rule, "New Restrictions on Lobbying" published at 55 FR 6736 (February 26, 1990), including definitions, and the Office of Management and Budget "Government-wide Guidance for New Restrictions on Lobbying" and notices published at 54 FR 52306 (December 20, 1989), 55 FR 24540 (June 15, 1990), 57 FR 1772 (January 15, 1992), and 61 FR 1412 (January 19, 1996).

OMB Standard Form 424B: The grantee will comply with all applicable assurances in OMB Standard Forms 424B (Assurances for Non-Construction Programs), including the assurances relating to the legal authority to apply for assistance; access to records; conflict of interest; merit systems; nondiscrimination; Hatch Act provisions; labor standards; flood insurance; environmental standards; wild and scenic river systems; historic preservation; protection of human subjects; animal welfare; lead-based paint; Single Audit Act; and general agreement to comply with all applicable federal laws, executive orders and regulations.

<http://www.grants.gov/web/grants/forms/sf-424-family.html>

Programmatic Changes: The grantee will obtain the prior approval of the WDPI whenever any of the following actions is anticipated: (1) Any revision of the scope or objectives of the project; (2) Changes in key persons where specified in the application or grant award; (3) A disengagement from the project for more than three months, or a 25 percent reduction in time devoted to the project, by the approved project director; (4) Contracting out or otherwise obtaining services of a third party to perform activities central to the purpose of the award; (5) Changes in the amount of approved cost-sharing or matching provided by the subrecipient. [2 CFR §200.308(c)(1, 2, 3, 6, 7)].

Record Retention: The grantee will comply with the requirements of 2 CFR § 200.333, Record Retention and Access. The grantee will ensure records relating to the grant are maintained for a period of at least three years after the end of the project year, consistent with the grantee's record retention policy. If any litigation, claim, negotiation, audit or other action involving the records starts before the end of the period, the records will be retained until completion of the action and resolution of all issues.

Reporting: The grantee will ensure all required financial and program data is reported to the WDPI timely on a schedule established by the WDPI. The grantee will report to WDPI using the accounts in the Wisconsin Uniform Financial Accounting Requirements (WUFAR). [2 CFR §200.302(b)(2)]

Single Audit: Any entity that expends in total (all sources) \$750,000 or more in federal funds during a fiscal year (July 1 – June 30) is required to conduct a single audit. If a single audit is required, a copy of the audit is to be submitted to DPI School Financial Services, Auditor. [2 CFR §200.501]

FEDERAL GRANT REQUIREMENTS (§200.331(a)(2)) (cont'd)

Text Messaging and E-Mailing While Driving: The grantee and their grant personnel are prohibited from text messaging while driving a government-owned vehicle, or while driving their own privately owned vehicle during official grant business, or from using government supplied electronic equipment to text message or e-mail when driving. [Executive Order 13513, "Federal Leadership on Reducing Text Messaging While Driving," October 1, 2009; <http://www.gpo.gov/fdsys/pkg/FR-2009-10-06/pdf/E9-24203.pdf>]

Time and Effort Supporting Documentation: For costs to be allowable, compensation for personal services must adhere to the Standards for Documentation of Personnel Expenses as identified in 2 CFR §200.430(i)(1). The subrecipient must retain records that accurately reflect the work performed and be supported by a system of internal control which provides reasonable assurance that the charges are accurate, allowable, and properly allocated.

Trafficking in Persons: The grant condition specified in 2 CFR §175.10 includes the following language: "i. Trafficking in persons. 1. You as the recipient, your employees, subrecipients under this award, and subrecipients' employees may not i. Engage in severe forms of trafficking in persons during the period of time that the award is in effect; ii. Procure a commercial sex act during the period of time that the award is in effect; or iii. Use forced labor in the performance of the award or subawards under the award." A subrecipient is required to inform the federal agency immediately of any information received from any source alleging a violation of this condition. The federal agency may unilaterally terminate this award, without penalty, if a subrecipient is determined to have violated this condition.

Grant Program Terms and Conditions

- The recipient agrees to implement the plan as proposed in the project application.
- The grant shall be administered in accordance with the 2015-16 grant guidelines.
- The recipient shall perform the work and services described in the grant proposal, including any amendments submitted to meet program requirements.
- The recipient agrees to facilitate the completion of the annual performance report for the 2015-16 school year.
- Expenditures are limited to the amount of the award. Final reimbursement claim is due September 30, 2016.
- The recipient agrees to provide programming services for at least 10 hours per week and for at least 115 days during the school year.

Additional Requirements (2 CFR § 200.331(a)(3))

Fiscal Monitoring

Programmatic Monitoring

Access to Records (2 CFR § 200.331(a)(5))

Subrecipients must permit the Department of Public Instruction and auditors to have access to the subrecipient's records and financial statements as necessary for the Department of Public Instruction to meet the requirements of the Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards; Final Rule, 2 CFR §200.300 Statutory and national policy requirements through §200.309 Period of performance, and Subpart F–Audit Requirements of this Part.

Closeout Terms and Conditions (2 CFR § 200.331(a)(6))

The subrecipient must submit, no later than 90 calendar days after the end date of the period of performance, all financial, performance, and other reports as required by the federal award. (§200.343(a))

The subrecipient must liquidate all obligations incurred under the federal award no later than 90 calendar days after the end date of the period of performance as specified in the terms and conditions of the federal subaward. (§200.343(b))
